Galois Field Lecture 3

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# **O** Cyclotomic Fields

# Automorphism Group

# **3** Finite Galois Theory

#### **Splitting Fields of** $x^n - 1$

- Consider the splitting field of the polynomial x<sup>n</sup> − 1 over Q. The roots of this polynomial are called the n<sup>th</sup> roots of unity.
- Every nonzero complex number a + bi ∈ C can be written uniquely in term of polar coordinate

$$re^{i\theta} = r(\cos\theta + i\sin\theta), \quad r > 0, 0 \le \theta < 2\pi.$$

• There are *n* distinct solutions of  $x^n = 1$  in  $\mathbb{C}$ , namely

$$e^{\frac{2\pi ki}{n}} = \cos(\frac{2\pi k}{n}) + i\sin(\frac{2\pi k}{n}), \quad k = 0, 1, \dots, n-1.$$

#### Splitting Fields of $x^n - 1$ (Continued)

• In fact these are all  $n^{th}$  roots of unity, since

$$(e^{\frac{2\pi ki}{n}})^n = e^{\frac{2\pi ki}{n}n} = e^{2\pi ki} = 1.$$

- Hence  $\mathbb{C}$  contains a splitting field for  $x^n 1$ .
- The splitting field for x<sup>n</sup> − 1 over Q is viewed as the field generated by e<sup>2πki</sup>/<sub>n</sub> in C, where k = 0, 1, ..., n − 1.

#### Remark

In any abstract splitting field  $K/\mathbb{Q}$  for  $x^n - 1$ , the collection of  $n^{th}$  roots of unity form a (cyclic) group under multiplication, since if  $\alpha^n = 1$ ,  $\beta^n = 1$ , then  $(\alpha\beta)^n = 1$ .

# Definition

A generator of the cyclic group of all  $n^{th}$  roots of unity is called a primitive  $n^{th}$  root.

- Let ξ<sub>n</sub> denote a primitive n<sup>th</sup> roots of unity. The other primitive n<sup>th</sup> roots of unity are the elements ξ<sub>n</sub><sup>a</sup>, where 1 ≤ a < n is an integer relative prime to n.</li>
- These other primitive *n*<sup>th</sup> roots of unity are the other generators for a cyclic group of order *n*.
- There are precisely  $\varphi(n)$  primitive  $n^{th}$  roots of unity,  $\varphi(n)$  denotes the Euler  $\varphi$ -function.

#### Example

• Over  $\mathbb{C}$ , let  $\xi_n = e^{2\pi i/n}$  the first  $n^{th}$  roots of unity. Then all the other roots of unity are

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$$\xi_n^k = e^{2\pi k i/n}$$

• The primitive roots of unity in  $\mathbb{C}$  for some small values of *n* are:

$$\xi_1 = 1; \quad \xi_2 = -1;$$
  
 $\xi_3 = \frac{-1 + i\sqrt{3}}{2}; \quad \xi_4 = i;$ 

# The splitting field of $x^n - 1$ over $\mathbb{Q}$ is the field $\mathbb{Q}(\xi_n)$ .

# Definition

# The field $\mathbb{Q}(\xi_n)$ is called the cyclotomic field of $n^{th}$ roots of unity.

• If 
$$n = p$$
, a prime, then  
 $x^{p} - 1 = (x - 1)(x^{p-1} + x^{p-2} + \dots + x + 1).$   
• Since  $\xi_{p} \neq 1$ , it is a root of polynomial  
 $\phi_{p}(x) = \frac{x^{p} - 1}{x - 1} = x^{p-1} + x^{p-2} + \dots + x + 1,$ 

which is irreducible.

#### **Cyclotomic Extensions**

- $\phi_p(x)$  the minimal polynomial of  $\xi_p$  over  $\mathbb{Q}$  and  $[\mathbb{Q}(\xi_p) : \mathbb{Q}] = p 1.$
- In general,  $[\mathbb{Q}(\xi_n) : \mathbb{Q}] = \varphi(n)$ .
- Later, we will use the property :

$$\operatorname{Gal}(\mathbb{Q}(\xi_n):\mathbb{Q}) \simeq (\mathbb{Z}/\mathbb{Z}_n)^*$$
  
 $\sigma_a \mapsto a \mod n,$ 

where  $\sigma_a(\xi_n) = \xi_n^a$ .

• Let  $\mu_n$  denote the group of  $n^{th}$  roots of unity over  $\mathbb{Q}$ , i.e.

$$\mu_n = \{\xi_0, \xi_1, \ldots, \xi_{n-1}\}.$$

- Then  $\mathbb{Z}_n \simeq \mu_n$ , by  $a \mapsto (\xi_n)^a$  for a fixed primitive  $n^{th}$  roots of unity.
- If d is a divisor of n and  $\xi$  is a  $d^{th}$  root of unity, then  $\xi$  is also an  $n^{th}$  root of unity since  $\xi^n = (\xi^d)^{n/d} = 1$ .

• Hence 
$$\mu_d \subseteq \mu_n, \quad \forall d \mid n.$$

Conversely, the order of any element of the group μ<sub>n</sub> is a divisor of n so that if ξ is an n<sup>th</sup> root of unity which is also a d<sup>th</sup> root of unity for some smaller d, then d | n.

#### Automorphism of K

Let K be a field.

# Definition

- An isomorphism σ of K is called an automorphism of K.The collection of automorphisms of K is denoted by Aut(K).
- An automorphism σ ∈ Aut(K) is said to fix an element α ∈ K if σα = α.
- If F is a subset of K, then an automorphism  $\sigma$  is said to fix F if it fixes all the elements of F, i.e.  $\sigma|_F = id_F$ .

## Definition

Let K/F be an extension of field. We denote Aut(K/F) as the collection of automorphisms of K which fix F, i.e.

$$\operatorname{Aut}(K/F) = \{ \sigma : K \to K \mid \sigma|_F = id_F \}.$$

- Any automorphism σ of a field K fixes its prime subfield, since σ(1) = 1 and σ(0) = 0.
- If F is the prime subfield of K, then Aut(K) = Aut(K/F), since every automorphism of K automatically fixes F.

# Proposition

Aut(K) is a group under composition and Aut(K/F) is a subgroup.

## Proposition

Let K/F be a field extension and let  $\alpha \in K$  be algebraic over F. Then for any  $\sigma \in Aut(K/F)$ ,  $\sigma \alpha$  is a root of the minimal polynomial for  $\alpha$  over F.

- Aut(K/F) permutes the roots of irreducible polynomials.
- Any polynomial with coefficients in F having  $\alpha$  as a root also has  $\sigma \alpha$  as a root.

#### **Example : Finding** $Aut(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$

• Let  $K = \mathbb{Q}(\sqrt{2})$ . Since  $\mathbb{Q}$  is the prime subfield of  $\mathbb{Q}(\sqrt{2})$ ,

$$\operatorname{Aut}(\mathbb{Q}(\sqrt{2})) = \operatorname{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q}),$$

• If 
$$\tau \in \operatorname{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$$
, then  
 $\tau(\sqrt{2}) = \sqrt{2} \quad \text{or} \quad \tau(\sqrt{2}) = -\sqrt{2},$ 

since there are two roots of the minimal polynomial  $x^2 - 2$  over  $\mathbb{Q}$ .

• Since au fixes  $\mathbb{Q}$ ,

$$\tau(\mathbf{a} + \mathbf{b}\sqrt{2}) = \mathbf{a} + \mathbf{b}\sqrt{2}, \text{ or}$$
  
$$\tau(\mathbf{a} + \mathbf{b}\sqrt{2}) = \mathbf{a} - \mathbf{b}\sqrt{2}.$$

#### Finding $Aut(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$ (continued)

- The map  $\iota:\sqrt{2}\mapsto\sqrt{2}$  is the identity automorphism.
- The map  $\sigma: \sqrt{2} \mapsto -\sqrt{2}$  is the isomorphism.

Hence

$$\operatorname{Aut}(\mathbb{Q}(\sqrt{2})) = \operatorname{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q}) = \{\iota, \sigma\} \simeq \mathbb{Z}_2,$$

a cyclic group of order 2 generated by  $\sigma.$ 

We have associated to each field extension K/F a group Aut(K/F), the group of automorphisms of K which fix F.

## Proposition

Let *H* be a subgroup of Aut(K/F). Then the collection *F* of elements of *K* fixed by all the elements of *H* is a subfield of *K*.

# Definition

Let *H* be a subgroup of automorphisms of *K*, Aut(K). The subfield *E* of *K* fixed by all elements of *H* is called the fixed field of *H*.

• Suppose 
$$K = \mathbb{Q}(\sqrt{2})$$
 and consider  $\operatorname{Aut}(\mathbb{Q}(\sqrt{2})) = \{\iota, \sigma\}.$ 

- The fixed field of  $\operatorname{Aut}(\mathbb{Q}(\sqrt{2}))$  will be the set of elements of  $\mathbb{Q}(\sqrt{2})$  with  $\sigma(a + b\sqrt{2}) = a + b\sqrt{2}$ .
- The equation  $a + b\sqrt{2} = a b\sqrt{2}$  is true for b = 0, so the fixed field of  $\operatorname{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$  is just  $\mathbb{Q}$ .

# Proposition

Let *E* be the splitting field over *F* of the polynomial  $f(x) \in F[x]$ . Then  $|\operatorname{Aut}(E/F)| \leq [E : F]$ . If f(x) is separable over *F*, then  $|\operatorname{Aut}(E/F)| = [E : F]$ .

- Consider a simple extension E = F(α), and let p(x) be a polynomial in F[x] having α as a root.
- If  $\alpha$  is the only root of p(x) in E, then  $|\operatorname{Aut}(E/F)| = [E : F] = 1.$
- For example,  $\sqrt[3]{2}$  denote the real cube root of 2, then  $|\operatorname{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})| = 1.$

#### Separable extension

## Definition

An algebraic extension E/F is separable if the minimum polynomial of every element of E is separable; otherwise it is inseparable.

- An algebraic extension E/F is separable if every irreducible polynomial in F[x] having a root in E is separable.
- Let p(X) be an irreducible polynomial of degree m in F[x]. If E/F is separable, then roots of p(x) are distinct.

 Example : The polynomial x<sup>3</sup> − 2 has one real root <sup>3</sup>√2 and two nonreal roots in C. Therefore the extension Q(<sup>3</sup>√2)/Q is separable.

## Definition

## Let K/F be a finite extension.

- *K* is said to be Galois over *F* and *K*/*F* is a Galois extension if *K* is normal, separable and finite over *F*.
- If K/F is Galois the group Aut(K/F) is called the Galois group of K/F, denoted by Gal(K/F).

# Corollary

If K is the splitting field over F of a separable polynomial f(x), then K/F is Galois.

#### Necessary and sufficient conditions for Galois extension

## Theorem

For an extension K/F, the following statements are equivalent:

- 1. K is Galois over F;
- 2. *K* is the splitting field of a separable polynomial  $p(x) \in F[x]$ ;
- 3. The elements of F are fixed by all  $\sigma \in Aut(K)$ ;
- 4.  $|\operatorname{Aut}(K/F)| = [K : F].$

## Definition

If f(x) is a separable polynomial over F, then the Galois group of f(x) over F is the Galois group of the splitting field of f(x) over F.

- The extension  $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$  is Galois with Galois group  $\operatorname{Gal}(\mathbb{Q}(\sqrt{2})/\mathbb{Q}) = \{\iota, \sigma\} \simeq \mathbb{Z}_2.$
- The extension  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not Galois since its group automorphisms is only of order 1.

#### **Example : Finding** $Gal(\mathbb{Q}(\sqrt{2},\sqrt{3}))$

- The extension  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  is Galois over  $\mathbb{Q}$  since it is the splitting field of the polynomial  $(x^2 2)(x^2 3)$ .
- The only possibility for automorphisms are maps:

$$\iota : \sqrt{2} \mapsto \sqrt{2} \text{ and } \sqrt{3} \mapsto \sqrt{3};$$
  
$$\sigma : \sqrt{2} \mapsto -\sqrt{2} \text{ and } \sqrt{3} \mapsto \sqrt{3};$$
  
$$\tau : \sqrt{2} \mapsto \sqrt{2} \text{ and } \sqrt{3} \mapsto -\sqrt{3};$$
  
$$\theta : \sqrt{2} \mapsto -\sqrt{2} \text{ and } \sqrt{3} \mapsto -\sqrt{3}.$$

#### **Example : Finding** $Gal(\mathbb{Q}(\sqrt{2},\sqrt{3}))$ (continued)

- Since the Galois group is of order 4, all these elements are in fact automorphisms of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  over  $\mathbb{Q}$ .
- Consider the automorphisms :

$$\sigma : \sqrt{2} \mapsto -\sqrt{2} \text{ and } \sqrt{3} \mapsto \sqrt{3}$$
  
$$\tau : \sqrt{2} \mapsto \sqrt{2} \text{ and } \sqrt{3} \mapsto -\sqrt{3}.$$

• Then consider that

$$\sigma(\sqrt{6}) = \sigma(\sqrt{2}\sqrt{3}) = \sigma(\sqrt{2})\sigma(\sqrt{3}) = -\sqrt{2}\sqrt{3} = -\sqrt{6}.$$

#### **Example : Finding** $Gal(\mathbb{Q}(\sqrt{2},\sqrt{3}))$ (continued)

• Hence, we have explicitely

$$\sigma : a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mapsto a - b\sqrt{2} + c\sqrt{3} - d\sqrt{6};$$
  
$$\tau : a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mapsto a + b\sqrt{2} - c\sqrt{3} - d\sqrt{6}.$$

• Then  $\sigma^2(\sqrt{2}) = \sqrt{2}$  and  $\sigma^2(\sqrt{3}) = \sqrt{3}$ , or  $\sigma^2 = id$ . • Similarly,  $\tau^2 = id$ .

#### **Example : Finding** $Gal(\mathbb{Q}(\sqrt{2},\sqrt{3}))$ (continued)

• The automorphism  $\sigma \tau$  can be computed as:

$$\sigma\tau(\sqrt{2}) = \sigma(\tau(\sqrt{2})) = \sigma(\sqrt{2}) = -\sqrt{2};$$
  
$$\sigma\tau(\sqrt{3}) = \sigma(\tau(\sqrt{3})) = \sigma(-\sqrt{3}) = -\sqrt{3}.$$

- Hence  $\operatorname{Gal}(\mathbb{Q}(\sqrt{2},\sqrt{3})/\mathbb{Q}) = \{1,\sigma,\tau,\sigma\tau\}.$
- It is isomorphic to the Klein 4-group.

Example Subgroup of  $Gal(\mathbb{Q}(\sqrt{2},\sqrt{3})/\mathbb{Q})$ 

 Each subgroup H in Gal(Q(√2, √3)/Q) corresponds to a subfield K of E.



# **Fundamental Theorem of Galois Theory**

Let K/F be a Galois extension and G = Gal(K/F). There is a bijection :

$$\{E \mid F \subset E \subset K\} \iff \{H \mid H \subset G\}$$

$$E \mapsto \{\sigma \in G \mid \sigma|_E = id_E\}$$

$$\{x \in K \mid \sigma(x) = x, \leftarrow H.$$

$$\forall \sigma \in H\}$$

#### **Properties**

- If E<sub>1</sub> and E<sub>2</sub> corresponding to H<sub>1</sub> and H<sub>2</sub> respectively, then E<sub>1</sub> ⊆ E<sub>2</sub> if and only if H<sub>1</sub> ≥ H<sub>2</sub>.
- $F \subset E \subset K$ , E corresponding to H. Then [K : E] = |H| and [E : F] = |G : H|.
- K/E is always Galois with Galois group Gal(K/E) = H

#### **Properties (continued)**

- E is Galois over F if and only if H is a normal subgroup in G. If this is the case, then the Galois group is isomorphic to the quotien group Gal(E/F) ≃ G/H.
- If *E*<sub>1</sub> and *E*<sub>2</sub> corresponding to *H*<sub>1</sub> and *H*<sub>2</sub> respectively, then:
  - a  $E_1 \cap E_2$  corresponding to  $< H_1, H_2 >$ ;
  - b  $E_1E_2$  corresponding to  $H_1 \cap H_2$ .

#### Example

- Consider the field  $\mathbb{Q}(\sqrt{2} + \sqrt{3})$  is a subfield of the Galois extension  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ .
- The other roots of the minimal polynomial for  $\sqrt{2} + \sqrt{3}$  over  $\mathbb{Q}$  are the distinct conjugate of  $\sqrt{2} + \sqrt{3}$ , i.e.  $\pm \sqrt{2} \pm \sqrt{3}$ .
- The minimal polynomial is therefore:

$$(x-(\sqrt{2}+\sqrt{3}))(x-(\sqrt{2}-\sqrt{3}))(x-(-\sqrt{2}+\sqrt{3}))(x-(-\sqrt{2}-\sqrt{3})),$$

that is the irreducible polynomial  $x^4 - 10x^2 + 1$ . • Moreover,  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3})$